IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GRESSER ET AL.

Examiner:

UNKNOWN

Serial No.:

10/645,964

Group Art Unit:

UNKNOWN

Filed:

AUGUST 22, 2003

Docket:

13466.2USD1

Title:

BLOCKS AND BLOCK FORMING APPARATUS AND METHOD

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October <u>1</u>, 2003.

PATENT TRADEMARK OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Other: Submission of Information Disclosure Statement with 3 cited references.

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Karen A. Fitzsimmons

Reg. No.: 50,470 KFitzsimmons:cjm



0/645,964 PATENT

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By: Carla J. Mauch Name: Chiech J. MAUCH

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2) and § 1.98(d), copies have been provided only for those items listed on the enclosed Form 1449 that have not previously been cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/788,836 filed on February 20, 2001.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

23552

PATENT TRADEMARK OFFICE

Date: Oct. 15, 7003

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

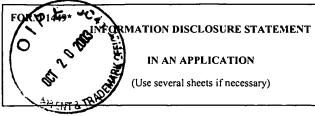
Minneapolis, Minnesota 55402-0903

(612) 332-5300

Karen A. Fitzsimmons

Reg. No. 50,470

KAF:cjm



(Use several sheets if necessary)

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Applicant: GRESSER ET AL.

Filing Date: AUGUST 22, 2003

Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	776,137	11/1904	Jackson			·
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	1,332,246	03/1920	Coates			,
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-	2,121,450	09/1938	Sentrop			
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·	3,546,052	12/08/1970	McNamara			****
	3,966,166	06/29/1976	Elliott			V- VI
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	4,500,275	02/1985	Ruhl, Edward A.			
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-	5,273,251	12/1993	Badstieber, Johann			
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EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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FORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

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Filing Date: AUGUST 22, Group Art Unit: UNKNOWN 2003

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
	5,435,949	07/25/1995	Hwang	<u> </u>		- II AITK	JI KIATE
	5,484,236	01/16/1996	Gravier			·	
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	Des. 370,272	05/28/1996	Risi et al.				
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····	5,637,236	06/10/1997	Lowe		~	-	-
	5,667,200	09/1997	Kelley, Jr., Michael L.			. =	
	5,817,248	10/1998	Forlini, Emidio J.	1			
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· · · · · · · · · · · · · · · · · · ·	5,935,317	08/10/1999	Soroushian et al.			·	
	5,937,601	08/17/1999	McDonald				
,	5,943,234	08/24/1999	Martinez et al.				
	6,000,183	12/14/1999	Newman				
	6,032,488	03/07/2000	Deruyter et al.			· ···	
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						YES	NO
	CA 1210249	08/26/1986	Canada				
	FR 2220990 A	11/1974	France			_	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Date Mailed: October 16, 2003

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MATION DISCLOSURE STATEMENT

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Cleco Mfg. brochure, Wallingford, CT, 2 pages (date unknown)
International Pipe Machinery Corp. brochure, Quinn Wet Cast Septic Tank Form, 1 page (date unknown)
Spillman; Columbus, Ohio, brochure, 2 pages (date unknown)
Elk River Machine Co., Photographs of Retaining Walls, entitled "Retaining Wall Form", 4 pages (date unknown)
Rempel Bros. of Canada brochure, 1 page (1999)
Rempel Bros. Concrete Ltd., http://www.rempelbros.com/what's_new/content/mainb.htm, 1 page (2000)
Armcon Ltd. brochure, LocBloc™ Retaining Wall Block, http://www.armcon.com/frames.html, 1 page, (2001)
Allan Block brochure, 1 page, and photos, (1994)
Concrete Gabions brochure, 2 pages (date unknown)
Lok Blok Retaining Systems, http://www.lokblok.co.za/, 1 page (2001)
Loffelstein® brochure, 2 pages (1989)
American Redi-Bloc™ brochure, 2 pages (2000)
Risi Stone Systems brochure, 2 pages (1994)
Unilock brochure, http://www.unilock.com/walls/index.html, 2 pages (2001)
PISA II Retaining Wall System, http://www.risistone.com/systems/p2/frames/p2ctxt.htm, 5 pages (2001)
United Lock-Block™ Ltd., http://www.unitedlockblock.com/products.htm, 2 pages (2000)
OCTA BLOC TM Precast Block Systems & Materials, http://www.rempelbros.com/products&services/content/precast.htm, 2 pages (2000)
Cleco Mfg., Inc. MCX 2000 Showguide, 2 pages (2000)
Del Zotto Products, Incorporated advertisement, The Concrete Producer, p. 63 (2000)
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Anchor Wall Systems brochure, 2 pages, (1993)
Rinforced Earth® brochure, 4 pages (1995)
Interlock Concrete Products Inc. brochure, 3 pages (1994)
American Redi-Bloc Mfg.; http://www.americanredibloc.com/Manufacturing/Manufacturing.htm, 1 page (2000)
American Redi-Bloc Specifications, http://www.americanredibloc.com/Specifications/Specifications.htm, 1 page (2000)
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 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	American Redi-Bloc Wall Pictures, http://www.americanredibloc.com/WallPictures/Wall_Pictures.htm, 2 pages (2000)
 	American Redi-Bloc Installation, http://www.americanredibloc.com/Installation/Installation.htm, 1 page (2000)
	American Redi-Bloc Engineering, http://www.americanredibloc.com/Engineering/Engineering.htm, 3 pages (2000)
	American Redi-Bloc Uses, http://www.americanredibloc.com/Uses/Uses.htm, 2 pages (2000)
	Design Pro "TerraCrete™" Landscape Block Form System brochure, 1 page (date unknown)
	Actual Drawing, The Neel Company, "T-Wall Form Liner Adapter" (1996)
	Actual Drawing, Spillman Company, "Beam Forms", (1986)
	Video Tape "Transform Concrete Into A New Profit Center", (copyright 2000)
	Avon Manufacturing Company, Inc., "Speed-Strip Septic Tank Molds", sales brochure, dated February 19, 1964.
Exhibit A	Disclosure of "Reduced Weight Slip Cast Concrete RetainingWall Block" provided to applicant by Redi-Rock International; 9 pages; (known to Applicant as of October 15, 2001).
Exhibit B	Roll-Away Form brochure, Redi-Rock International; and Rollaway Purchase Agreement, Redi-Rock International; 2 pages; (Purchase Agreement dated February 6, 2001)

23552
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